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(print name)

4/6/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Doherty et al.  
Filing Date: February 16, 2000  
Serial No.: 09/506,079  
For: HER-2 BINDING ANTAGONISTS  
Art Unit: 1642  
Examiner: J. Hunt  
Docket: 49321-16  
Date: 10 January 2001

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

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APR 05 2001

TECH CENTER 1600/2900

PRELIMINARY AMENDMENT

Sir:

Please amend the specification (Sequence Listing at pages 34-39) by substituting the enclosed corrected Sequence Listing pages 34-39. The amendments are in response to the NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES, attached to the Office Communication, dated March 15, 2001. No new matter has been added. Entry of the Amendment is respectfully requested.

**REMARKS**

Applicants now submit (Box SEQUENCE) the appropriate Sequence Listing in paper (C.F.R. 1.821(c)) and computer-readable form (C.F.R. 1.821(e)), along with applicants' statement under (C.F.R. 1.821(f)).

Minor corrections to the Sequence Listing, as originally disclosed in the specification, have been made to bring the Sequence Listing into conformity with the requirements of C.F.R. 1.821-1.825.